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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/801,486

03/16/2004

Rigiang Yan

29915/00281B

CONFIRMATION NO. 9882

FORMALITIES LETTER

OC00000012529218

MARSHALL, GERSTEIN & BORUN LLP 6300 SEARS TOWER 233 S. WACKER DRIVE CHICAGO, IL 60606

Date Mailed: 05/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

-07/29/2004 FFANAIA2 00000055 10801486

FILED UNDER 37 CFR 1.53(b)

07/29/2004 FFANAIA2 00000055 10801486

01-FC:1251

02 FC:1001 03 FC:1202 432.00 OP

Filing Date Granted

04 FC:1051

130.00 OP

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 3.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

Additional claim fees of \$1460 as a non-small entity, including any required multiple dependent claim fee, are

29/2004 FFANAIA2 00000055 10801486 770.00

required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$2360 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$1460
 - **\$1170** for **65** total claims over 20.
 - \$290 for multiple dependent claim surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Reperwork Reduction Act of 1995, no persons are requi	ired to r	espond t	o a colle	ection o				itrol number	
TRANSMITTAL		Complete if Known							
BADDE INAINSIVIII AL	Application Number			er	10/801,48	36-Conf. #9882			
for FY 2004	Filing Date			March 16, 2004					
101 F 1 2004	First Named Inventor				itor	Rigiang Yan			
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name					Not Yet Assigned			
Applicant deline ampli antity status. See 37 CED 1 27									
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					1646			
TOTAL AMOUNT OF PAYMENT (\$) 1,442.00		Attorney Docket No. 29915/00281B							
METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
X Check Credit Money Order Other None	3. ADDITIONAL FEES								
Deposit Account:									
Deposit		Large Entity Small Entity							
Account Number 13-2855	Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Desc	ription	Fee Paid	
	Ì				_				
Account	1051	130	2051	65		- late filing fe		130.00	
Name BURUN LLP The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	- late provision	onal filing fee or cover		
	1053	130	1053	120		h anadesatis	•		
Charge fee(s) indicated below X Credit any overpayments	1053	130		130	NOT-Englis	h specification	1		
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a r	equest for ex p	arte reexamination		
Charge fee(s) indicated below, except for the filling fee	1804	920°	1804	920*	Requesting Examiner a	publication o	f SIR prior to		
to the above-identified deposit account.	1805	1,840*	1805	1 840*	Requesting	publication o	f SIR after		
· · · · · · · · · · · · · · · · · · ·	4				Examiner a	ection		440.00	
FEE CALCULATION	1251	110	2251	55		for reply within		110.00	
1. BASIC FILING FEE	1252	420	2252	210			second month		
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	1253	950	2253	475		for reply within			
Code (\$) Code (\$)	1254	1,480	2254	740	Extension t	for reply within	fourth month		
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255	1,005	Extension f	for reply within	i fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of A	ppeal			
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brie	ief in support of an appeal			
1004 770 2004 385 Reissue filing fee	1403	290	2403		•	r oral hearing			
1005 160 2005 80 Provisional filing fee	1451	•	1451			•	lic use proceeding		
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55		revive – unav			
	1453	1,330	2453	665		revive - uninte			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from	1501	1,330	2501	665	Utility issue	e fee (or reissu	ıe)		
Claims below Fee Paid	1502	480	2502	240	Design issu	ue fee			
Total Claims 44 -20** = 24 x 18.00 = 432.00	1503	640	2503	320	Plant issue	fee			
Independent3** =x =	1460	130	1460	130	Petitions to	the Commiss	sioner	•	
Multiple Dependent =	1807	50	1807	50	Processing	fee under 37	CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission	n of Informatio	n Disclosure Stmt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording	each patent a	ssignment per		
Code (3)	""	40	0021	40		mes number o			
1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	(37 CFR 1.		final rejection		
1201 86 2201 43 Independent claims in excess of 3		770	2810	385	For each a	dditional inver			
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1810	770	2801			(37CFR 1.129		 	
over original patent	1			385	-		xamination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	ı	1802 900 1802 900 Request for expedited examination of a design application Other fee (specify)							
SUBTOTAL (2) (\$) 432.00	Red	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 240.00							
**or number previously paid, if greater, For Reissues, see above									
SUBMITTED BY						(Complete	(if applicable))		
······································		(Complete (if applicable)) gistration No. 12 262 Telephone (212) 474 6200							
		ttorney/Agent) 43,303 Telephone (312) 474-0300							
Signature (Signature	<u>~ · </u>					Date	July 26, 2004		
700									

I hereby certify that this corre	spondence is be	ing deposited with the l	J.S. Postal Service	e with sufficient postage as First Cla	ss Mail, in
an envelope addressed to: 0	Commissioner for	r Patents, P.O. Box 145	97 Alexandria, VA	22313-1450, on the date shown be	low.
		1/2/20	6/0. I		
Dated: July 26, 2004	Signature:	A COURT	au.	22313-1450, on the date shown be (Nabeela R. McMillian)	